

APPLICANT OR PATENTEE: MOHAN ANANDA

REF NO: 04500.913

SERIAL OR PATENT NO.: (N) ASSIGNED YET

FILED/ISSUE DATE: SEPTEMBER 15, 1998

FOR: ON-LINE POSTAGE SYSTEM

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
37 CFR 1.9(F) AND 1.27(C) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: STAMPMASTER, INC.

ADDRESS OF CONCERN: 4500 E. THOUSAND OAKS BLVD., SUITE 100, WESTLAKE VILLAGE, CA 91362

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under §41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that the rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled:

ON-LINE POSTAGE SYSTEM  
This is to certify that the above-identified small business concern is a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under §41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

by Inventor(s): Mohan Ananda

described in

☒ The specification filed herewith.

☐ Application Serial No.: \_\_\_\_\_

☐ Patent No.: \_\_\_\_\_, Issued: \_\_\_\_\_

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).  
 \* NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention asserting to their status as small entities. (37 CFR 1.27)

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: MOHAN ANANDA

TITLE OF PERSON OTHER THAN OWNER: CHIEF EXECUTIVE OFFICER

ADDRESS OF PERSON SIGNING: 4500 E. THOUSAND OAKS BLVD, SUITE 100, WESTLAKE VILLAGE, CA 91362

SIGNATURE: [Signature]

DATE: sep 15, 98

BEST AVAILABLE COPY

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**ON-LINE POSTAGE SYSTEM**

the specification of which

XXX is attached hereto.  
 \_\_\_\_\_ was filed on \_\_\_\_\_ as  
 Application Serial No. \_\_\_\_\_  
 and was amended on \_\_\_\_\_  
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I do not know and do not believe that the same was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	_____	_____

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I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

<u>                    </u> (Application Serial No.)	<u>                    </u> (Filing Date)	<u>                    </u> (Status -- patented, pending, abandoned)
<u>                    </u> (Application Serial No.)	<u>                    </u> (Filing Date)	<u>                    </u> (Status -- patented, pending, abandoned)

I hereby appoint HECKER & HARRIMAN, a firm including: Gary A. Hecker, Reg. No. 31,023; J.D. Harriman II, Reg. No. 31,967; Frank M. Weyer, Reg. No. 33,050; Carole A. Quinn, Reg. No. 39,000; Jason S. Feldmar, Reg. No. 39,187; Todd N. Snyder (Patent Agent), Reg. No. 41,320; and Jason S. Farhadian, Reg. No. 42,523 with offices located at 1925 Century Park East, Suite 2300, Los Angeles, California 90067, telephone (310) 286-0377, as my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor MOHAN ANANDA

Inventor's Signature *Mohan Ananda* Date Sep 15, 1998

Residence Westlake Village Citizenship U.S.

(City, State) (Country)

Post Office Address 549 Lakeview Canyon Road

Westlake, California 91362

CERTIFICATE OF MAILING

This is to certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail Label No. E1 582944370S in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231 on:

9/21/98 SEPTEMBER 21, 2000

*Clayton Wells* 9/21/00

Signature Date

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JANUARY 29, 1999

PTAS

J. D. HARRIMAN, II  
HECKER & HARRIMAN  
1925 CENTURY PARK EAST  
SUITE 2300  
LOS ANGELES, CA 90067



\*100846755A\*

JC913 U.S. PTO  
09/668421  
09/22/00

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/30/1998

REEL/FRAME: 9493/0159

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ANANDA, MOHAN

DOC DATE: 09/15/1998

ASSIGNEE:

STAMPMASTER, INC.  
4500 E. THOUSAND OAKS BLVD.  
SUITE 100  
WESTLAKE VILLAGE, CALIFORNIA 91362

SERIAL NUMBER: 09163993

FILING DATE: 09/29/1998

PATENT NUMBER:

ISSUE DATE:

SEDLEY PYNE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

DOCKETED  
ON 2/9/99  
FOR client  
N/t client

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September 22, 2000  
9/22/00  
Signature \_\_\_\_\_ Date \_\_\_\_\_

MRD  
9-30-98

10-09-1998

FORM PTO-1595  
(Rev. 6-93)

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

100846755

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

MOHAN ANANDA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: STAMPMASTER, INC.

Internal Address:

Street Address: 4500 E. Thousand Oaks Blvd.

Suite 100

City: Westlake Village State: CA Zip: 91362

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: September 15, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 29, 1998

A. Patent Application No.(s)

09/163993

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence

concerning document should be mailed:

Name: J. D. HARRIMAN II

Internal Address: HECKER & HARRIMAN

Street Address: 1925 CENTURY PARK EAST

SUITE 2300

City: LOS ANGELES State: CA Zip: 90067

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1520

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. D. HARRIMAN II

Name of Person Signing

Signature

September 29, 1998

Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

10/08/1998 TTOM11 00000138 09163993

40.00 OP

11 FC:581

## ASSIGNMENT

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned, MOHAN ANANDA, hereby sell, assign, and transfer to: StampMaster, Inc., a corporation of Delaware, having a principal place of business at: 4500 E. Thousand Oaks Blvd., Suite 100, Westlake Village, California 91362, ("Assignee") its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent

☒ which has been executed by the undersigned concurrently herewith,


☐ which was filed \_\_\_\_\_ and assigned Serial No. \_\_\_\_\_

and is entitled: ON-LINE POSTAGE SYSTEM and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: Sep 15, 1998

  
Name: MOHAN ANANDA

JC913 U.S. PTO  
09/668421  
09/22/00



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

OCTOBER 26, 1999

PTAS

HECKER & HARRIMAN  
J. D. HARRIMAN II  
1925 CENTURY PARK EAST  
SUITE 2300  
LOS ANGELES, CA 90067



\*101127759A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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09/668421  
09/22/00

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/23/1999

REEL/FRAME: 010180/0782  
NUMBER OF PAGES: 3

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
STAMPMaster, INC.

DOC DATE: 12/04/1998

ASSIGNEE:  
STAMPS.COM, INC.  
2900 31ST STREET, STE. 150  
SANTA MONICA, CALIFORNIA 90405

SERIAL NUMBER: 08872792  
PATENT NUMBER:

FILING DATE: 06/10/1997  
ISSUE DATE:

SERIAL NUMBER: 09163993  
PATENT NUMBER:

FILING DATE: 09/29/1998  
ISSUE DATE:

SERIAL NUMBER: 08178398  
PATENT NUMBER: 5495411

FILING DATE: 12/22/1993  
ISSUE DATE: 02/27/1996

SERIAL NUMBER: 08482429  
PATENT NUMBER: 5638513

FILING DATE: 06/07/1995  
ISSUE DATE: 06/10/1997

010180/0782 PAGE 2

SERIAL NUMBER: 08271472  
PATENT NUMBER: 5548645

FILING DATE: 07/07/1994  
ISSUE DATE: 08/20/1996

MAYA BENNETT, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

**CERTIFICATE OF MAILING**

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Patents Washington, D.C. 20231 on:*

SEPTEMBER 27, 2000  
Alayne Wells 9/27/00  
Signature Date

08-26-1999

FORM PTO-1595  
(Rev. 6-93)

REC

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)

101127759

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

STAMPMaster, INC.

MRD  
8-23-99

2. Name and address of receiving party(ies)

Name: STAMPS.COM, INC.

Internal Address: 2900 31st Street, Ste. 150

Santa Monica, California 90405

Street Address: 2900 31st Street, Ste. 150

City: Santa Monica State: CA Zip: 90405

Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Other \_\_\_\_\_

Execution Dates: December 4, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

08/872,792 and  
09/163,993

B. Patent No.(s)

5,495,411;  
5,638,513 and  
5,548,645Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence

concerning document should be mailed:

Name: J. D. Harriman II

Internal Address: HECKER &amp; HARRIMAN

Street Address: 1925 Century Park East

Suite 2300

City: Los Angeles State: CA Zip: 90067

6. Total number of applications and patents involved: 5

7. Total fee (37 CFR 3.41).....\$ \$200.00

☒ Enclosed☒ Authorized to be charged to deposit account for any  
deficiency in the required fee.

8. Deposit account number:

08-1520

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true  
copy of the original document.

J. D. Harriman II

U.S. Reg. 31,967

Name of Person Signing

Signature:

Date

8/15/99

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents and Trademarks, Box Assignments  
 Washington, D.C. 20231

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FC:581

200.00 SP.

JCS13 U.S. PTO  
09/668421

09/22/00

State of Delaware  
*Office of the Secretary of State*

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PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "STAMPMASTER, INC.", CHANGING ITS NAME FROM "STAMPMASTER, INC." TO "STAMPS.COM INC.", FILED IN THIS OFFICE ON THE FOURTH DAY OF DECEMBER, A.D. 1998, AT 6 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

JC913 U.S. PTO  
09/668421  
09/22/00

2844114 8100

981466487



*Edward J. Freel*  
Edward J. Freel, Secretary of State

9443309

AUTHENTICATION:

DATE: 12-07-98

**CERTIFICATE OF AMENDMENT  
OF  
RESTATED CERTIFICATE OF INCORPORATION  
OF  
STAMPMASTER, INC.**

JC913 U.S. PTO  
09/668421  
09/22/00

It is hereby certified that:

1. The name of the Corporation is Stampmaster, Inc.
2. The Amended and Restated Certificate of Incorporation of the Corporation is hereby amended by deleting Article I thereof and by substituting in lieu of said Article the following new Article I:

**ARTICLE I**

The name of the corporation is Stamps.com Inc. (the "Corporation").

3. The foregoing amendment of the Amended and Restated Certificate of Incorporation herein certified has been duly adopted in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

Signed and attested to on December 3, 1998.

  
John Payne  
President

Attest:

  
John La Valle  
Secretary